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Complementation Patterns in Ötämiš Ḥājjī's *Čingiz-nāmä*: A Typological Approach to Subordination in Middle Turkic

Ötemiş Hacı'nın Cengiznâme'sinde Tümleç Örüntüleri: Orta Türkçe'de Altasıralamaya Tipolojik Bir Yaklaşım

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ABSTRACT

This article investigates complement clauses, i.e., clauses entering an argument slot of a complement taking predicate, in Ötämiš Ḥājjīr's Čingiz-nāmä, a 16th century Middle Turkic text from Khiva which demonstrates Qipchaq linguistic features. Three major classes of complementation will be investigated: direct quotations, propositional-type complement clauses, and state of affairs-type complement clauses. The multitude of surface forms will be encoded into abstract structural types which enable structural comparison. The aim is to establish the inventories of expression types for each class, to give representative examples for each type, and to compare the typological inventories. Besides the formal aspects, semantic issues are investigated as well. The aim of the paper is to provide data for synchronic comparison, and ultimately to contribute to our understanding of the evolution of the variation in clausal complementation among the Modern Turkic languages.

Keywords: Middle Turkic, Syntax, Subordination, Complementation, Grammaticalization

ÖZ

Bu makalede Ötemiş Hacı tarafından 16. yüzyılda Hiva'da yazılmış olan Cengiznâme'deki tümleç tümcecikleri incelenmektedir. Kıpçak Türkçesi özellikleri gösteren bu metinde, matris yüklemin anaöğe dilimlerine giren üç tümleç ulamı dikkate alınmakta: dolaysız alıntılar, önerme türü tümleç tümcecikleri ve işlerin durumu türü tümleç tümcecikleri. Yüzey biçimlerin biçimsel kalabalığı, soyut yapısal türlerle kodlanarak karşılaştırmalı incelemeye tabi tutulmaktadır. Makalenin amacı, üç çatı ulamın ifade türlerinin dizgelerini tespit etmek, her ifade türü için temsil edici örnekler sunmak ve dizgeleri tipolojik ölçütlere göre karşılaştırmaktır. Ayrıca biçimsel boyutun yanı sıra anlambilimsel sorunlar da ele alınmaktadır. Makale, eşzamanlı karşılaştırmalar için de veriler sunarak çağdaş Türk dillerinde tümleç tümceciklerindeki biçimbilimsel ve anlambilimsel çokluğun artzamanlı gelişiminin araştırılmasına da katkı sağlayacaktır.

Anahtar kelimeler: Orta Türkçe, Sözdizimi, Altasıralama, Tümleç, Dilbilgiselleşme



Introduction

Turkic languages demonstrate several classes of subordinate clauses, including attributive clauses, adverbial clauses, and nominal clauses. Structurally, attributive clauses usually function as modifiers, adverbial clauses as adjuncts, and nominal clauses as complements. When pre-modern and modern Turkic varieties are investigated in light of these syntagmatic classes, it turns out that a relatively large set of formal types is available to realize them (e.g., not all complement clauses are nominal clauses), and that individual languages employ these tools in very different ways. While subordination in the Turkic languages has been studied frequently and extensively (for a recent comparative study on the modern Turkic languages, see Aydemir 2020), many issues concerning the diachronic developments which have led to the synchronic diversity remain unexplored. Historical grammars, such as Erdal 2004 for Old Turkic and Brockelmann 1954 for the Middle Turkic varieties of Central Asia, provide excellent surveys of the options available in the literary varieties, but give only limited information on the distribution of individual structures among historical dialects, information which is relevant to the study of the evolution of subsequent varieties in their respective area.

The present study is an attempt to contribute to our knowledge of the development of syntactic subordination in the Middle Turkic era. It investigates one specific class of subordination: complementation in a broad sense, with a focus on clausal complementation, but including constructions which some authors do not include into the discussion of clausal complementation *sensu stricto* (a more precise delimitation of the study will be given below). Subordinate clauses which are unambiguously attributive or adverbial, however, will be excluded.

The text examined for the purposes of this study (i.e., the corpus) is the *Čingiz-nāmä* by Ötämiš Ḥājjī (ČN), a short text (24 folios, 47 text pages) composed in the first half of the 16th century in the Khanate of Khiva (Ivanics 2017: 42). It is thus a sample of an idiolect, which can be said to represent a dialect otherwise only weakly attested. The text belongs to the broader domain of Chaghatay literature but reveals the Qipchaq linguistic background of its composer, e.g. in the presence of pronominal N (with exceptions, though: e.g. *xizmatlarida* besides *xizmatlarinda*, 36b), the <POSS.3-ACC> variant -(s)In (with exceptions such as *sačīnī*, 53a), occasional instances of labial harmony (*üstümüzgä*, 43a), or the use of -mAK (rather than -mAKčI) in intentional/prospective finite forms (such as *meni čarlap almaq turur* 'he will summon me', 53a), features which are not completely alien to, but yet less typical of Southeast Turkic. With its recognizable Qipchaq features, it is likely that this text also displays dialect features in complementation patterns and can contribute to a future understanding of the development of later Turkic morphosyntax.

The aim of this study is predominantly typological, i.e., it will be attempted to identify the main structural patterns underlying different types of clausal complementation. The typology can in principle be applied to morphological and lexical material of various designs and is suitable for comparative studies as well. The formal classes also have a semantic side, of

course. Structural and semantic properties of complementation are closely intertwined. The partition of this paper is based on overarching functional and semantical criteria. In this respect, the primary approach of the present paper is onomasiological. Within the individual sections, however, the structural types will also be subject to semasiological investigation, of course.

Theoretical considerations, as discussed by Noonan (2007), Cristofaro (2003), and Dixon (2006), shall not concern us too much here but will be used as a general background on which the Middle Turkic data are evaluated. The abstractions made in this paper will be explained when they come up.

Terminological issues

For a proper understanding of this paper, the following terms and notions must be commented on. For the purposes of this study, a *clause* will be considered as consisting of at least a predicate. In linguistic studies on complementation, the matrix element of a complement clause is commonly labelled *complement taking predicate* (CTP), a term which will be adopted here. Note that predicates are not confined to the word class of verbs but may also include nouns, adjectives, and other word classes (cf. Dixon 2006: 11).

The term *complement* will be used synonymously with argument. Thus, a *complement clause* will be considered to be any clause that enters any argument slot of a CTP, including (but not confined to) the subject and the direct and indirect object slots. Mono-clausal constructions, i.e., structures in which the subject of the CTP and the subject of the CC are identical, will be included in the description of clausal complementation.

There are various conceptions of *complementizers* in literature, including broad ones which include bound complementizers (e.g., Noonan 2007: 55; Kehayov & Boye 2016: 7) and more restricted ones, confined to "words" or "particles" (cf. Dixon 2006: 24). In this paper, the term complementizer will be used for all kinds of subordinators which make a clause accessible to CTPs, irrespective of their morphological status. When necessary, more specific terms such as *bound complementizer* or *complementizer particle* will be used. Two classes of nominalizations will be distinguished in this article: The term *verbal noun* will be used for nominalizers which are inflectional markers, while the term *deverbal noun* will be used for derivational nominalizers. (The border between inflection and derivation is not always totally clear, a fact which will not create any difficulties in the present paper.)

The term *finite* will be used to describe the capacity of an item to form predicates of independent sentences, while *non-finite* will be used to designate the absence of this capacity (cf. Joseph 1983: 6–30 and Nikolaeva 2007 for elaboration on these highly problematic notions). Some Turkic markers are unambiguously finite or non-finite, while others may be *either* finite *or* non-finite, where the concrete status is determined by the paradigm to which it belongs to. (E.g., *-GAn* may be used as a finite or a non-finite item in many Turkic languages, but the concrete status can be established from the oppositions to other items.)

The term *proposition* will be used to designate an abstract truth bearer, i.e., a covert pragmatic entity which needs not to be immediately formally identifiable but can be retrieved from an utterance ("coercion", Boye 2012; cf. Rentzsch 2015: 18–20). Contrastingly, the term *state of affairs-type CC* is used for complement clauses without a truth value (cf. Dik 1997: 105; Boye 2012: 193).

The opposition *factual* versus *non-factual* refers to semantic qualities directly associated with concrete linguistic forms. Thus, the VN -*GAn* is [+factual], while the VN -*mAK* is [-factual] in the ČN (cf. Kornfilt 2007: 315 on Turkish).

In this article, the term *indicative* will be used for finite forms which present a proposition as valid at a given point of view, i.e., a semantic feature of certain finite items. The term *subjunctive* will be used in a purely syntactic way to designate finite verb forms used as a tool to subordinate the predicate of the CC to the CTP.

Methodology and delimitation

The scope of the paper comprises (I.) direct quotations, which typify a class of embedding and can be investigated in the context of complementation, furthermore (II.) propositional-type complement clauses, i.e. CCs from which a proposition can be retrieved (coerced), and finally (III.) state of affairs-type complement clauses, i.e. CCs without propositional content. These macro-classes will occasionally be labelled as Class I, Class II, and Class III, respectively, for the sake of convenience. Embedded quotations are included although some scholars, such as Cristofaro (2003: 108) and Dixon (2006: 10), exclude them from their studies of complementation, while, e.g., Noonan (2007: 121) includes them. The comparison of the structures attested for embedded quotations and for propositional-type CCs seems promising, and the comparative study of propositional-type CCs and SoA-type CCs is common in the literature on complementation. This is why these three classes are investigated in this study.

The study will exclude grammaticalized constructions involving converbs, such as postverbial constructions, etc. (Level 4 constructions according to Johanson 1995), although it can be argued that a construction like -*A bašla*- 'to start to' (e.g., in *farēšān sözlā-y bašla-dī* 'he started to talk confusedly', ČN 44a), not included in this paper, does not substantially differ in structure from Modern Standard Turkish -*mAyA başla*-, which in turn is structurally comparable to -*mAKGA qoy*-, included in this paper (ex. 69 below). The converb segment in a postverbial construction may absolutely be construed as a complement to a CTP (i.e., the postverbial segment). The reason for leaving out postverbial constructions is that including them would require a discussion in the context of adverbial clauses, which is beyond the scope of this paper. The number of items thus eliminated is quite small and comprises -*A al*- (39a), -*A bašla*- (41b), -*A bil*- (41b), -(*X)p al*- (52b), -(*X)p ber*- (37a), -(*X)p oltur*- (44a), -(*X)p qal*- (39b), and -(*X)p tur*- (58a), all strongly grammaticalized items with actional or modal meaning and little importance for the purposes of this paper.

The paper will introduce a set of abstract functional, morphological, and semantic categories that enable the encoding of concrete surface structures into abstract structural types which are mutually comparable. Although the text is relatively short and represents only a sample of one individual idiolect, it is impossible to present all tokens of a type in this paper, and even all subtypes of an umbrella category: Thus, for the type <CTP+COMP+[QUOTE]+te-> (Class I, Type 8), examples will be cited for the CTPs mašhūr tur- and faryād qīl- but not for the CTP ay- (which occurs, e.g., on 41b). Conversely, for the CTP ay-, examples will be cited for the types <CTP+[QUOTE]+te-> (Class I, Type 5) and <[QUOTE]+tep+CTP> (Class I, Type 2), and, moreover, for the etymologically related CTP ayt- an example for the type <VN-POSS-ACC+CTP> (Class II, Type 2). The decision which subtype to include and which to leave out is necessarily subjective and was partly led by the intention to represent both as many CTPs and as many types as possible. The limitation of subtypes notwithstanding, the data cited are considerably fine grained, and it is unlikely that an important type has been forgotten.

The text base for this study is the Tashkent Manuscript of ČN, for which facsimiles and editions are available (Judin & Baranova & Abuseitova 1992; Kawaguchi & Nagamine & Sugahara 2008; Kamalov 2009). For the present paper, the latter two editions, both of which take Judin et alii (1992) into consideration, have been used; however, Kawaguchi, Nagamine and Sugahara's edition has usually been given preference since it represents the Arabic graphemes in the transcription more accurately than Kamalov's. In cases of doubt, the facsimile in Kamalov 2009 has been consulted. The transcription has been slightly modified. These modifications mainly reflect personal taste rather than substantial insight into the phonetical and phonological niceties of the language underlying the manuscript. The Istanbul Manuscript of ČN (cf. Kafalı 2009) has not been considered in this paper.

Quotation embedding (Class I)

Quotation embedding is realized in numerous ways in ČN. The CTP may either precede or follow the quotation. If the CTP precedes the quotation, the complementizer ki(m) may be present. The quotation may or may not be followed by a quotative particle, which is usually tep in ČN. The phonetically more progressive form dep occurs on page 50a. In rare cases, $tev\ddot{u}$ is used in the same function as tep:

(1) fîkr qïlurlar erdi kim [āyā bu kelgän kiši ne kiši bolyay ekän bu el majlisdä bu ṭarīqa mutaḥayyir boldïlar] teyü

'They were thinking: [What kind of person might this person who has come be? People in this assembly are so surprised.]' (51b)

Direct quotations within the ČN text are given in square brackets in this article.

It is worth noting that both the particles ki(m) and tep are optional, and both may co-occur. Their function, however, differs in that ki(m) has a broader scope of use and can be used with CCs other than quotations, i.e., with propositional-type CCs and SoA-type CCs (see below). Contrastingly, tep is used with quotative CCs only. (There are other kinds of embeddings with tep in ČN, namely purpose clauses. These, however, are not CCs but adverbial clauses and fall beyond the scope of the present discussion.) Thus, both ki(m) and tep may be classified as complementizer particles, but tep is specifically quotative. It is therefore labelled with the term total term total term to the particle in this contribution.

In ČN, inflected forms of the verb *te*- 'to say' can function as CTPs and be attached immediately to the right (ex. 2) or to the left (ex. 3) of the quotation, without any additional marking:

- (2) hanūz ol yolnin hudūdi bar turur tedilär 'They said that [the side of the way still exists.]' (41a)
- (3) x^{*}āja aḥmad tedi [rāstīn aytyīl ol kelgän kiši nä aytdī vä taqī seni čarlap beg nä aytdī]
 - 'Khoja Aḥmad said: [Tell us the truth. What did that person who has come say, and then what did the lord say when he summoned you?]' (52b)

The first option, <[QUOTE]+te->, is especially frequent, while with CTPs preceding the quotation, another *verbum dicendi*, *ay*- 'to say', is more common (see below, ex. 7). An interesting case is the following, where short quotes are serialized and followed by one CTP only:

(4) ba'zīlār [on ūč yïl] ba'zīlār [on altī yïl pādšāhlīq qïldī] teptururlar 'Some say [he was king for 13 years], some say [for 16 years].' (43a)

This example gives the impression of a summarizing paraphrasis of various opinions, which are cited in a tentative way, without paying importance to completion and accurate record (which could have been accomplished by writing ba'zīlär [on üč yil pādšāhliq qildi] teptururlar ba'zīlär [on alti yil pādšāhliq qildi] teptururlar). This is an example of economical brevity.

CTPs other than *te*- only rarely follow quotations immediately, without the intervening quotative particle. A case in point is the following, where *tuy*- 'to hear' follows a finite verb form, which might represent a full quotation:

(5) čūn begim [almasun] tuydī ersä burunqī 'izzat vä ḥurmatnī käm qīla bašladī 'When the lady heard: [He shall not marry her], she began to reduce her former deference.' (53a–b)

More commonly, however, the quotative particle *tep* intervenes between quotation and a CTP other than *te-*:

(6) šiban xan [muniŋ üstüngä ilyarmän] tep aydi 'Šiban Khan said: [I will ride against them.]' (39b)

Preceding CTPs represent the majority of tokens for quotation embeddings in ČN. Sometimes, the quotation follows the CTP without an intervening complementizer.

- (7) aydï [nä sorasïz soruŋ]
 'He said: [Ask what you are going to ask.]' (52b)
- (8) ayturlar [ol ḥālda xanniŋ elindä bir qapsiz qalqan turur erdi] 'People say that [in this situation there was a shield without cover in the king's hand.]' (42a)
- (9) ol tüškän kišilärdin sorar erdilär [siz ol tepä bašindaqi bir kišidin nečük qačtiniz] 'They asked the captives: [Why did you flee from this sole man on the hill?]' (42b)

The end of the quotation may be marked by the quotative marker *tep*.

- (10) maqtanurlar [biz sizlärdin artuq tururbiz] tep
 'They praised themselves: [We are superior to you.]' (38b)
- (11) bular xabar tapdīlar [maskav pādšāhī qaršu keläturur] tep 'They obtained the information: [The king of Moscow is marching against us.]' (39b)

Frequently, the complementizer ki(m) is inserted between CTP and subsequent quotation.

(12) keŋäš qïldïlar kim [nä iš qïlsaq bolur] 'They deliberated: [What can we do?]' (46b)

Islam.]' (48a)

(13) tört valīgā allāh ta ʿālādīn ilhām boldī kim [sizlār barīp özbegni islāmya da 'vat qīlīŋīzlar]

'The four saints received an inspiration by God: [Go and invite Özbeg to accept

(14) sordīlar ki [sizlār nā kišilār turur nā iškā yūriyūrsiz nā iškā barursīz] 'They asked: [What kind of people are you, what is your business, what are your objectives?]' (48b)

Example (15) is semantically ambiguous between direct and indirect speech. As the embedded sentence is not followed by a quotative marker and communicates a reported content, it could also be a case of indirect speech. Similarly, example (16) can be interpreted in terms of a direct or an indirect command. As the structure of these examples is totally identical to direct quotations, they are mentioned here.

- (15) ayturlar ki [ol qalqa bir yalan qayanin üstündä tururlar]

 'People say: [The fortress stands on a naked rock.]'; 'People say that the fortress stands on a naked rock.' (40b)
- (16) šiban xan ḥukm qïldï ki [här māl vä yaray ki här kišigä tüšüptur dast-yābï alīp qalmasunlar barčasīn keltürsünlär]
- 'Šiban Khan commanded: [People shall not take possession of any goods and weapons which they have captured, but they shall bring me everything.]';
- 'Šiban Khan commanded that people should not take possession of any goods and weapons which they had captured, but that they should bring him everything.]' (39b)

Framing of quotations by both preceding ki(m) and subsequent tep is also common with preceding CTPs:

- (17) hukm qildilar kim [maŋa bu hikāyatlarni kitābat qilip beriniz] tep 'He commanded: [Write these stories down for me.]' (37a)
- (18) xanya xabar keltürdilär kim [yayïnïŋ gardï faydā boldï hēč učï qïraqï yoq turur] tep 'They informed the king: [The dust of the enemy has become visible. He has no end and no limit.]' (42b)
- (19) qara noyayya ündäkči keldi kim [beg sizni čarlaydur] tep 'A messenger came to Qara Noyay: [The lord is summoning you.]' (52a)

Remarkably, quotations may also be framed by a preceding CTP (other than te-) and a subsequent inflected form of te-. In such constructions, ki(m) may either be present (ex. 20) or absent (ex. 21–22). Example (21) is an instance of a proverb.

- (20) xan aydī [ṣayin yosaqlī söz aytaturur nā üčün qabūl qīlmadīŋ] tedi 'The king said: [Sayin is speaking legitimate words. Why didn't you accept it?], he said.' (39a)
- (21) mašhūr turur kim [qulaq ešitkän sözniŋ köprägi yalyan] terlär 'It is well-known that people say: [Most words which the ears hear are wrong.]' (37b)
- (22) faryād qildilar kim [muna iyäŋizniŋ baši yerlig yeriŋizdin tepränmäŋiz] tedilär 'They shouted: [Here is the head of your master. Don't you move from your places!]' (47b)

An interesting type is CTP framing, where the same complement taking predicate both precedes and follows the quotation. This type is attested in ČN in combination with the quotative particle *tep* only:

- (23) bu ṭarīqa du ʿā qïlïŋïz kim [bir xudāyā, meniŋ dušmānïmnï biligsiz qïlyïl (...)] tep du ʿā qïlïŋïz

 'Pray the following way: [O Allah, the only one, make my enemy unconscious!]' (43b)
- (24) 'āqibat aŋa qarār berdilär kim ikki tanūr qazyaylar här birisini on araba süksük bilä qïzduryaylar [...] tep qarār berdilär 'Finally, they decided: [Let people dig two ovens and heat them with ten carloads of saxaul.]' (48b)

The full inventory of types of quotation embedding in ČN is summarized in Table 1.

Table 1: Types of quotation embedding

Type	Structure	Examples
1a	<[QUOTE]+CTP>	(5)
1b	<[QUOTE]+te->	(2), (4)
2	<[QUOTE]+tep+CTP>	(6)
3a	<ctp+[quote]></ctp+[quote]>	(7–9)
3b	<te-+[quote]+></te-+[quote]+>	(3)
4	<ctp+[quote]+tep></ctp+[quote]+tep>	(10–11)
5	<ctp+[quote]+te-></ctp+[quote]+te->	(20)
6	<ctp+comp+[quote]></ctp+comp+[quote]>	(12–16)
7	<ctp+comp+[quote]+tep></ctp+comp+[quote]+tep>	(17–19)
8	<ctp+comp+[quote]+te-></ctp+comp+[quote]+te->	(21–22)
9	<ctp+comp+[quote]+tep+ctp></ctp+comp+[quote]+tep+ctp>	(23–24)

As for the semantic types of CTPs, a broad selection is attested, including *verba dicendi* (*te-* 'to say', *ayt-* 'to say', *sor-* 'to ask', *faryād qïl-* 'to shout'), epistemic and evidential items (*xabar tap-* 'to receive an information', *xabar keltūr-* 'to bring an information', *mašhūr tur-* 'to

be known'), manipulative predicates (du ' \bar{a} $q\bar{\imath}l$ - 'to pray', hukm $q\bar{\imath}l$ - 'to command'), etc. This broad spectrum of options suggests that besides utterance predicates and propositional attitude predicates, which have an obvious semantic affinity to quotations, virtually any predicate which can receive support by a quotation may be used as CTP for embedded quotations.

Proposition-type complement clauses (Class II)

Like with the embedding of direct quotations, propositional-type CCs are constructed with either a preceding CTP or a subsequent CTP in ČN. There are, however, fundamental differences. While structural types with preceding CTPs are followed by a full finite clause, mostly in an indicative form, and thus resemble Type 3 and Type 6 of Class I, those propositional-type CCs in which the CTP follows the CC usually demonstrate a factual verbal noun, functioning as a bound complementizer, with a possessive suffix and, depending on the specific pattern, potentially a case suffix (divergent patterns see below, Type 1b and 2b). In other words, left-branching CCs (i.e., those with a subsequent CTP) are non-finite.

Finite CCs with preceding CTP do not occur with the full typological inventory attested with quotative embeddings. They may display an optional intervening complementizer ki(m) but, naturally, never make use of the quotative particle tep.

Let us first investigate the non-finite complementation strategies with the CTP following the CC. In these complementation types, the predicate of the CC contains a factual verbal noun, usually -GAn, which is inflected by a possessive marker co-indexed with the subject referent. The subject of the CC, if overtly expressed at all, is mentioned in the unmarked (nominative) or in the genitive case. (The precise rules determining the case selection must be investigated comprehensively and language- or dialect-specifically. As the data for ČN are scarce, no rule can be identified for this variety.) The CC as a whole behaves like any noun phrase and accepts case suffixes depending on the combinational rules of the CTP.

There are CCs in the nominative case, which in a formal syntactic perspective enter a subject-predicate relationship with the CTP. These may typify subject clauses like in examples (25–27):

- (25) nä iš birlä vä ne kayfīyat birlä xan bolyanlarï mazkūr ermäs erdi 'It was not mentioned with which deeds and circumstances they had become king.' (36b)
- (26) bularnin bir aš bišim xāmōš bolup mutaḥayyir bolyani ol sababdin erdi 'It was for this reason that they remained silent for a while and were stunned.' (52a)
- (27) *xannï körgänim ošal boldï* 'This is how I have met the king.' (44a)

Example (27) requires some elaboration, as the occurrence of the factual verbal noun -GAn might come as a surprise at first sight. (Modern Standard Turkish, for example, would normally use the non-factual verbal noun -mA in a similar context, not the factual verbal noun -DIK.) In the present example, the meeting with the king is presented as actually having taken place, and the report of what happened precedes this sentence in the text (predicates in the indicative, i.e., presented as true). Thus, seeing the king is presented as a fact here (implying the proposition: 'I have met the king'), and refers especially to the circumstances of the meeting, in a way resembling the verbal noun $-(y)I\varsigma$ (in its inflectional use in CCs) in Turkish (cf. Erdal 1998). Likewise, examples (28–29) reflect a usage of -GAn which deviates from the conventions in Turkish (where iyi 'good' as a CTP combines with the VNs -mA and $-(y)I\varsigma$, but not -DIK), but which is well reconcilable with the options in other languages, as the English translation illustrates.

- (28) yaymur köp yayar mu tegäni yaxši 'It is good that he asked (lit. 'said') whether there is much rain.' (44a)
- (29) vä taqï sïčqannï häm soryanï yaman ermäs 'And it is also not bad that he asked about the rats.' (44a)

The type <VN-POSS+CTP> is also attested with *yoq* as CTP, a combination which seems to encode propositional negation ("it is not the case that") and produces a reading of emphatical negation. Expression of negation by complement clauses is occasionally attested in the languages of the world (Noonan 2007: 144), and some modern Turkic languages have developed negation patterns such as *-GAn yoq*. Thus, this type of negation with *yoq* is not surprising from a diachronic and comparative point of view.

(30) däšt vilāyatīnda ular bigin 'ādil vä 'ābid vä żābiṭ pādšāh kečkäni yoq turur 'In the steppe there has never been (lit.: passed) a king so just, pious and restrained as him (lit.: them).' (49b)

As example (30) shows, the factual verbal noun -GAn in the scope of yoq produces an indicative reading. Below, it will be demonstrated that the modal verbal noun in -(V)r in the scope of yoq, by contrast, produces an intentional reading (see ex. 62–63).

Several examples of object clauses are also attested in ČN. In these cases, the verbal noun receives an accusative case marking. This type occurs with CTPs encoding notions from the domain of knowledge (ex. 31–32), with perception verbs (ex. 34), and with *verba dicendi* (ex. 35).

(31) nä türlig urušlar, nä türlig mājarālar bolyanīn [...] ma'lūm qïlīp 'to find out what kinds of wars and adventures happened' (37a)

- (32) *ol kelgän kiši nä aytyanïn bilmän* 'I do not know what that person who has come has said.' (52b)
- (33) and in son nä bolyan in bilmädim
 'I do not know what happened then.' (57b)
- (34) *xannï ikki kiši kelip tutγanïn kördüm*'I saw how two people came and captured the king.' (57b)
- (35) *ol kiši ki keldi xanniŋ ölgänin ayta keldi* 'The person who has come has told you that the king has died.' (52b)

A CC in the ablative occurs in the following example. The case is selected according to the predictable government rules of the CTP $xabard\bar{a}r$ 'informed (about)'.

(36) hēč kiši anīŋ ölgänidin xabardār bolmadī 'Nobody received the information that he had died.' (43a)

When the predicate of the non-finite CC is not verbal but nominal (i.e., a noun or an adjective), the copula particle *ekän* serves as a carrier auxiliary for possessive and case markers. An example in the nominative is (37), one in the accusative is (38).

- (37) meniŋ sendin yašya uluy ekänim rāst 'It is true that I am older than you.' (38a)
- (38) men emgäklik ekäninni körätururman 'I see that you are agonized.' (55a)

There is also a possible strategy to complementize adjectival predicates by means of the denominal noun marker -*IIK*, attested only twice in the text and exemplified in (39). From the data at hand, it cannot be established with certainty whether this is a free alternative to *ekän* for constructing a complement clause (with the predicate *az* 'few') or whether *azlīq* is a bare noun here ('if he sees our small number'); in other words, whether the suffix -*IIK* functions as a derivational or an inflectional suffix, and whether (39) typifies a complement clause at all.

(39) nāgāh taŋ atip bizniŋ azliqimizni körsä yaman turur

'It will be bad if suddenly the sun rises, and he sees that we are few/how few we are.' (57a)

Another type, different from those mentioned above, leaves the verbal noun unmarked and attaches the possessive marking to the CTP. This type, which can be seen in example (40), is structurally similar to a noun compound in which the first member is a clause, and not unlike the Turkish example [bir gözün kendisini gözetlediği] duygusu 'The feeling that an eye was watching him' (Pamuk 1990: 72; the CC is given in square brackets). Both the Middle Turkic and the Modern Turkish examples are no relative clauses, of course, and do not mean *'the information, which the king had died' and *'the feeling, which an eye observed him', respectively.

(40) *ol kelgän kiši [xan ölgän] xabarïn keltürdi* 'That person who had arrived brought the information that the Khan had died.' (52a)

As for propositional-type CCs with CTPs preceding a finite clause, a frequent structure includes the complementizer particle ki(m). As mentioned above, the predicate of the CC appears frequently in an indicative form. Examples (41–42) feature knowledge predicates as CTP, examples (43–44) the visual perception verb $k\ddot{o}r$ - 'to see' (where an actual visual perception is communicated in ex. (43), while ex. (44) demonstrates a metaphorical reading in terms of 'to notice'), and examples (45–47) expressions with various tasks of text structuring. Example (47), while structurally analysable as a clausal complement, exemplifies a development towards an adverbial clause (purposive clause): The item $an\ddot{n}$ $\ddot{u}\ddot{c}\ddot{u}n$ kim can be re-analysed in terms of 'because'.

- (41) ma 'lūm bolyay kim bu faqīr-i ḥaqīr [...] qadīm xizmatkārlarīndīn turur 'It shall be known that my humble self belongs to his old servants.' (36b)
- (42) čūn šiban xan bildi ki bular farāyat boldīlar läškärin yïydurdī 'When Šiban Khan understood that they relaxed, he assembled his soldiers.' (40b)
- (43) kördi ki bir taγ hēč qalmas'He saw that one mountain never remains behind.' (44b)
- (44) *ol yipni tutup olturyan kiši kördi ki farēšān sözläy bašladī* 'The man who held the rope noticed that he started to talk confusedly.' (44a)
- (45) andaγ boldï kim [...] biz faqīrdin kelip taftīš vä taḥqīq qïlur boldïlar 'So it happened that they started to come to me and to interrogate me.' (36b)
- (46) *yenä birisi alp atyuči bahādur erdi anday kim hämyāyasi yoq erdi* 'Another one was a heroic archer, such that there was nobody on his level.' (52a)

(47) bu söz yalat oxšar anın üčun kim ikki qavmdın ikki ittifaq birla bir yerda xan bolmaqı muškil turur

'This opinion seems to be wrong because it is difficult to be king in one place with two alliances from two tribes.' (46a)

The complementizer can also be omitted as in the following two examples:

- (48) kördilär qornin tašinda tört özgä şūratliy kišilär bašlarini qoyu salip olturur erdi 'They saw that outside the walls four persons with outlandish faces were sitting around, hanging their heads.' (48b)
- (49) qamišnin tübindä kördi bir yalan terlik kiygän yigit sudin čiqip yüz töbän tüšüp ikki bükülüp qaltiray yatip erdi
 - 'In the depth of the reed he saw that a naked young man, only clad with a light shirt, had come out of the water and was lying on his stomach shivering.' (55a)

A special case is seen in example (50), where the CC contains a question word, in this case kim 'who'. In spite of their formal similarity, the question word kim and the complementizer ki(m) are, of course, synchronically distinct in function.

(50) bilsäm [...] kim xan boldï
'May I know who became king.' (36b)

Occasionally, the CC contains a mood form (Modality₂, Rentzsch 2015) instead of an indicative:

(51) bolyay kim ża 'īfasï xaṭïrïnya tüšüp aṭlanyay 'Perhaps he will remember his wife and mount the horse.' (44b)

This construction overlaps with a frequent type of SoA-type CCs (see below, ex. 77–78, ex. 80). In the present case, however, there is a proposition 'he will remember his wife and mount the horse', which is evaluated for its truth value ('maybe'). Thus, it is an epistemic expression. The construction is typologically similar to English (maybe < may be) and relatively widespread in the Turkic languages; for an almost identical construction in the Middle Oghuz Dede Qorqud Oyuznāmäläri (*ola kim* + OPT), cf. Rentzsch 2011: 66, ex. (67).

Fully fledged epistemic items (Modality₃) are also attested in CCs with preceding CTP. In this example, *bolyay* functions as an enclitic epistemic marker:

(52) ma 'lūm turur kim [...] öz uruyïn tamām qïryan bolyay
'It is known that he must have killed his whole progeny.' (45b)

Finally, a few instances of imaginative or hypothetical constructions, involving the postposition teg 'like', occur as well in the text. In example (53) the CTP is the Persian element $g\bar{o}y\bar{a}$ (originally the present participle of the *verbum dicendi guftan* but copied as a fossilized element into many Turkic languages), while example (54) contains the CTP $xay\bar{a}l$ $q\bar{u}l$ - 'to imagine'. Both examples represent propositions ('He had not been ill' and 'Heaven and earth collapse above us', respectively) transferred to a hypothetical world.

Table 2 summarizes the types of proposition-type CCs in ČN.

Type Structure **Examples** <VN-POSS+CTP> (25-30)1a (37)1b <ekän-POSS+CTP> 2a <VN-POSS-ACC+CTP> (31 - 35)2b <ekän-POSS-ACC+CTP> (38)3 <VN-POSS-ABL+CTP> (36)4 <VN+CTP-POSS> (40)5a <CTP+COMP+IND> (41-47)5b <CTP+COMP+MOD2> (51)5c <CTP+COMP+MOD3> (52)5d <CTP+COMP+teg> (53-54)6a <CTP+IND> (48-49)6b <CTP+QW+IND> (50)

Table 2: Types of proposition-type complement clauses

SoA-type complement clauses (Class III)

As demonstrated above, in proposition-type CCs, non-finite complementation strategies of various designs compete with finite strategies, and in some domains, such as knowing and seeing, both non-finite and finite strategies are attested. In state of affairs-type CCs, where the complement does not have propositional value, an affinity to non-finite structures suggests itself. Such constructions are indeed frequently attested in ČN. However, there are also examples of SoA-type CCs with a finite verb form. These are generally Modality₂-items, specifically the optative in -GAy and the conditional in -sA (both inflectable for person), in one case also the voluntative 1.SG in -(A)yIn (ex. 79). In the constructions under discussion here, the original modal semantics of these items are bleached (i.e., -GAy no longer encodes a desire, and -sA no longer a condition) and their sole function is to subordinate the predicate of the CC to the CTP. This functional class of finite forms is commonly known as *subjunctive* (cf., e.g., Sandfeld 1930: 176), and in the typological formulation of this article it will be encoded as

SBJV, regardless of its origin as either conditional, optative, or voluntative.

The semantic fusion between CTP and the predicate of the CC can be quite advanced in SoA-type CCs and may result in mono-clausal structures, which are not considered CCs by all scholars. Such constructions often encode event modality or deontic modality (Modality and Modality, respectively), actional or manipulative notions ('let', 'request'), commentative meanings ('easy'), etc., in which the CTP assumes the role of an auxiliary, but there are also less conventionalized, "arbitrary" types of miscellaneous governed SoAs. As mono-clausal and bi-clausal constructions are highly similar in the Turkic languages (sometimes, they differ just in the presence or absence of a possessive marker), mono-clausal constructions are included in this paper. It must be emphasized that the constructions considered here are highly heterogeneous in terms of semantics, degree of conventionalization, and morphosyntactic function, and deserve detailed investigation in separate studies. In this paper, the formal typology of the items attested in ČN is focused on. The non-finite strategies will be considered first.

Examples (55–58) contain verbal nouns unmarked for possession and case. Formally, the CCs represent the subject argument of the CPT. The combination *-mAK bol-* in example (55) is a grammaticalized marker of participant-external possibility (cf. van der Auwera & Plungian 1998; Rentzsch 2015: 103–104), while the item *-mAK keräk* in example (56) is a necessity marker, in this context with a deontic reading. Example (57) evaluates the CC as 'easy', whereas *-mAK kesil-* is a phasal marker denoting 'to stop' (*kesil-* 'to be cut off').

- (55) *ešitmäk bilä zabt qïlmaq bolmas* 'It is impossible to grasp it through hearing.' (37a)
- (56) bularnï söylämäkkä öltürmäk keräk 'Instead of talking, one should kill them.' (48b)
- (57) sen bizniŋ ičimizdä xan bolup bizgä baš bolsaŋ anï öltürüp andïn ačïyïmïznï almaq āsān turur
 - 'If you become our king and lead us, it is easy to kill him and take our revenge.' (52b)
- (58) *qara kiši xan bolmaq mundïn kesilsün* 'It must stop now that ordinary people become king.' (47b)

The next five examples typify a similar structure with an additional possessive marker indicating the subject of the CC. In example (59), the predicate of the CC is a neutral, non-factual nominalization, marked by -mAKIIK. The possessive suffix agrees with the subject, $yay\ddot{i}$, which is marked with the genitive here. The CC fills the subject slot of the CTP qal- 'to remain'. While example (57) above contained a CTP denoting 'it is easy', the CTP in example

(60) (=ex. 47) conveys the meaning 'it is difficult'. The structural difference is that the possessive marker in example (60) refers to two (fictitious) persons mentioned in the preceding sentence. The CTP in (61) is oxša-, originally 'to be similar, to seem', here in the derived meaning 'to be appropriate'. The verbal noun -mAK in the CC is marked with the second person singular possessive to mark the addressee as its subject.

- (59) tā bu čaqya tegrü 'āṣī bilä yayīnīŋ qīlīč yalī bolmaqlīqī ol jihatdīn qalīpturur 'The habit of insurgents and enemies being executed remains till this day for that reason.' (40a)
- (60) bu söz yalat oxšar aniŋ üčün kim ikki qavmdin ikki ittifāq birlä bir yerdä xan bolmaqi muškil turur
 'This opinion seems to be wrong because it is difficult to be king in one place with two alliances from two tribes.' (46a)
- (61) muŋa el kün vilāyat berip öz qašīŋda saqlamayīŋ oxšamayay
 'It will be inappropriate that you give him people and a province and keep him at your side.' (40a)

In the section on propositional-type CCs above, we saw the factual verbal noun -GAn in the scope of yoq with the reading of an emphatic negative indicative. Examples (62–63), contrastingly, contain the verbal noun -(V)r, which must have developed a modal meaning by the time of writing of ČN. The construction with yoq renders an emphatic negative intentional reading ('we shall never/by no means').

- (62) särkäš qilurimiz yoq turur 'We shall never revolt.' (46b)
- (63) munin üčün ev elimizdän jalā'-i vaṭan bolurimiz yoq turur 'Thus, we shall never emigrate from our homes and our realm.' (46b)

The CTPs $p\ddot{a}s$ $q\ddot{i}l$ - 'to stop', $b\ddot{a}rtaraf$ $q\ddot{i}l$ - 'to refrain from' and $ox\ddot{s}at$ -, here 'to find appropriate' (the causative of $ox\ddot{s}a$ - mentioned in ex. 61) govern CCs with a verbal noun in the accusative. Note that both the non-factual VN -mAK and the modal VN -(V)r are attested in the examples, partly with the same CTP (ex. 66–67).

(64) *ol ḥālda sözlāmākni pās qīlyaysīz* 'In that case, you shall stop talking.' (43b)

- (65) sonïya qayta kenäš etip aṭlanurnï bärṭaraf qïldï 'Then he held council again and refrained from mounting the horses.' (58a)
- (66) qan bay häm bu sözni ešitip bašda aţlanurni oxšatip erdi 'And Qan Bay heard these words and first found it appropriate to mount the horses.' (58a)
- (67) munuŋ yayïsïnï buzup ketmäkni özümgä oxšata almayman
 'I do not find it appropriate for myself to destroy his enemies.' (58a)

An instance of a CC in the genitive case is seen in example (68). The CTP is marked with a possessive marker. This type of structure corresponds to a common Turkic genitive-possessive construction.

(68) özgä oylanlarindin ayrilip yurtinda bolmaqinin jihati yuqarida zikr qiliptururbiz 'We have mentioned above the reason why he had separated from [Jochi Khan's] other sons and been in his own homeland.' (50b)

Among the examples with a VN in the dative, a grammaticalized permissive item -mAKGA qoy- 'to let somebody do something' occurs in example (69), while various modal and utterance predicates in examples (70–72) combine with -(V)r plus the dative, a bound complementizer also found in some modern languages such as Tatar, Khakas, etc.

- (69) tā rāstlīq bilā xalāyīqlar arasīnda ḥukm qīlīp [...] birbiringā zulm ziyādalīq qīlmaqya qoymayaylar

 'That they shall rule among the creatures and not allow them to do too much injustice to one another.' (36a)
- (70) barčasin ma'lūm qilip xāṭirlarinda saqlarya raybat qilip 'to desire to find out everything and store it in the memories.' (37a)
- (71) emdi siz meni xanlaturya yaxši 'ahd vä šart qilsaniz maşlaḥatinizdin čiqmayin 'If you swear honestly to make me king, I shall conform to your advice.' (52b)
- (72) begim sačini qaraya boyadi xanya tegärgä mayl qildi 'The lady dyed her hair black and intended to marry the king.' (53a)

A possessive marker is added in example (73) to indicate a subject different from that of

the CTP. $\ddot{O}l\ddot{a}r$ is probably a verbal noun (lit. 'dying'), not a deverbal noun, in this example (in spite of its translation into English as 'death').

(73) bizniŋ ölärimizgä sabab bolup qïyāmatya tegrü yaman atnī betiŋä alma 'Do not cause our death and acquire a bad reputation until the Day of Judgement.' (52b)

A CTP governing the ablative (*maqṣūd* 'intended') is seen in the following example:

(74) 'ālam vä ādamnï yaratmaqdīn maqṣūd anīŋ zāt-i šarīf vä 'unṣūr-i laṭīfi erdi 'The aim of creating the world and mankind was [the Prophet's] noble personality and charming origin.' (36a)

Most of the subjunctive constructions occurring in ČN contain the complementizer ki(m) between CTP and CC. The semantic notions encoded by these constructions include desiderative and manipulative notions ('wish', 'desire', 'request', ex. 75–77), moral evaluations (ex. 78–80), and necessity (ex. 81).

- (75) yārānlardīn iltimās oldur kim [...] nāgāh ägär xaṭāsī yā yalaṭī vāqi 'bolmīš bolsa yalaṭnī čīqarīp xaṭāsīnī rāst qīlsalar 'My request to my friends is that in case errors or mistakes have occurred, they correct them.' (37a–b)
- (76) tilär erdim ki [...] bilsäm [...] kim xan boldï 'I wanted to know who became king.' (36b)
- (77) maŋa dā 'iya ol erdi kim bularniŋ aḥvālidin [...] bilsäm 'It was my desire to find out their situation.' (36b)
- (78) maŋa nä oxšar ki xan bolyayman 'In how far it behoves me to become king?' (38a)
- (79) munāsib körmädük bu däftärdä bitilgäy
 'We did not find it appropriate to be written in this book.' (44b)
- (80) ravā bolγay mu kim öz iyām oγlī turγanda men xan bolayīn 'Would it be appropriate that I become king while my own master has a son?' (47a)

(81) emdi sizgä vājib turur kim musulmānlarniŋ bašindin daf qilyaysiz 'Now you must remove him from among the Muslims.' (49b)

The subjunctive constructions without complementizer particles seen in examples (82–83) encode necessity. In example (82), necessity takes a negation into its scope, producing the reading 'must not, shall not'. Note the similarity of the expressions in examples (81) and (83).

- (82) keräk erdi anday qilmasaniz 'You should not have done so.' (46b)
- (83) bälī sizgā vājib turur bu kāfirni musulmānlar bašindīn daf 'qilyaysiz 'Yes, you must remove this infidel from the vicinity of the Muslims.' (50a)

While the complementizer ki(m) requires a fixed word order, with the CTP preceding the CC, subjunctive constructions without complementizer are potentially less restricted in their word order. In many Turkic varieties, $ker\ddot{a}k$ 'necessary' combined with the conditional in -sA may (sometimes must) follow the CC (cf. Rentzsch 2015: 130–132). This word order is not attested for $ker\ddot{a}k$ in the ČN, but there is an occurrence of -sA bol-, a grammaticalized item encoding participant-external possibility, which is also widely attested throughout the Turkic languages (cf. Rentzsch 2015: 113–115). In this construction, the CTP commonly follows the CC.

(84) *läškärniŋ oŋï soŋï yetüšdi läškäriniŋ qïrïyïn körsä bolmas erdi* 'The right side and the final part of the army came together; it was impossible to see the limit of the army.' (42b)

The attested structural types of SoA-type complement clauses are surveyed in Table 3.

Table 3: Types of SoA-type complement clauses

Type	Structure	Examples	
1a	<vn+ctp></vn+ctp>	(55–58)	
1b	<vn-poss+ctp></vn-poss+ctp>	(59–63)	
2	<vn-acc+ctp></vn-acc+ctp>	(64–67)	
3	<vn-poss-gen+ctp-poss></vn-poss-gen+ctp-poss>	(68)	
4a	<vn-dat+ctp></vn-dat+ctp>	(69–72)	
4b	<vn-poss-dat+ctp></vn-poss-dat+ctp>	(73)	
5	<vn-abl+ctp></vn-abl+ctp>	(74)	
6	<ctp+comp+sbjv></ctp+comp+sbjv>	(75–81)	
7a	<ctp+sbjv></ctp+sbjv>	(82–83)	
7b	<sbjv+ctp></sbjv+ctp>	(84)	

Conclusions

The three major functional classes broadly related with clausal complementation investigated in this paper display a highly diverse picture even within Ötämiš Hājjī's Čingiz-nāmä, which represents only a relatively short sample of a 16th century Middle Turkic idiolect. The investigation of further texts of Middle Qipchaq provenience and different Chaghatay varieties will certainly produce an even more colourful picture. The umbrella categories – embedded quotations (Class I), proposition-type complement clauses (Class II), and state of affairs-type complement clauses (Class III) – have been investigated by introducing abstract parameters – functional, morphological, and semantic categories – which produce abstract structural types that render the multitude of surface expressions comparable. This method establishes manageable inventories of types – roughly speaking nine types of embedded quotations, six types of proposition-type CCs, and seven types of SoA-type CCs. From the data we can infer that some possible types that are not attested in this text are coincidentally absent, such as, e.g., a type <VN-GEN+CTP-POSS> in Class III, which would fill a gap which distinguishes Type 3 from Type 1 and Type 4 of the same class, or a type <ekän-POSS-ABL+CTP>, which would complete Type 3 of Class II by analogy to Type 1 and Type 2, etc. Even so, we find some patterns which distinguish the three classes: The quotative particle tep is, not astonishingly, attested in Class I only; verbal nouns are most prolific in Class III but firmly established in Class II, too; in Class II, types involving a verbal noun mostly also contain a possessive marker, while in Class III possessive suffixes are more often dispensable as this class also includes same-subject constructions, etc. Two macro-types which are represented in all three classes are <CTP+COMP+CC> {I-6; II-5; III-6} and <CTP+CC> {I-3; II-6; III-7}. Interestingly, the type <CTP+COMP+CC>, one of the most pervasive types, is often considered alien to Turkic language structure.

It is also worth mentioning that direct speech is overwhelmingly more widespread in this text than indirect speech. The clearest example of indirect speech is example (35) (with the CTP ayt- 'to say'), while example (25) (with the CTP $mazk\bar{u}r$ 'mentioned') and example (36) (with the CTP $xabard\bar{a}r$ 'informed') are connected to the indirect communication of information in a broader sense, and in example (15) (with ayt-) and (16) (with hukm qil-) it is not entirely clear whether it represents direct or indirect speech.

In the long run, it would be promising to investigate the individual types identified in this micro-study in a more comprehensive perspective – either synchronically or diachronically – and to try to find expected types unattested in ČN in other Middle Turkic varieties. Other interesting studies could depart from classes of complement taking predicates (such as perception verbs, modal CTPs, *verba dicendi et sentiendi*, etc.), and explore the complementation patterns found with them, and their semantic implications. The distribution of structural types, and of concrete morphological material (such as in VN+CASE combinations) throughout the Turkic languages is another research domain in which much is still to be explored. The study of Turkic morphosyntax still has many interesting topics to offer.

Abbreviations

ABL Ablative
ACC Accusative

CC Complement clause

ČN Čingiz-nāmä
COMP Complementizer

CTP Complement taking predicate

DAT Dative **GEN** Genitive IND Indicative MOD1 Modality, MOD2 Modality, MOD3 Modality, OPT Optative POSS Possessive OW Ouestion word **SBJV** Subjunctive SG Singular SoA State of affairs VN Verbal noun

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